

ATTORNEY'S DOCKET NO.

074036.0127

PATENT APPLICATION

1

APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)

**UTILITY PATENT APPLICATION TRANSMITTAL FORM**

Mail Stop Patent Application  
COMMISSIONER OF PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Transmitted herewith for filing is the utility patent application of:

**Inventor(s) or Application Identifier:**

**Mohammed N. Islam, et al.**

**Entitled: Variable Blazed Grating**

**APPLICATION ELEMENTS:**

1. ☒ This Fee Transmittal Form
2. Applicant is:  
☐ Large Entity  
☒ Small Entity  
☐ Small Entity Statement enclosed  
☒ Small Entity Statement filed in prior application.  
Status still proper and desired.
3. ☒ Specification (48 Total Pages)
4. ☒ Drawings (12 Total Sheets)  
☒ Formal  
☐ Informal
5. ☒ Combined Declaration and Power of Attorney  
(4 Total Pages)  
☐ Newly executed (original or copy)  
☒ Copy from a prior application  
(for continuation/divisional only) (along with a copy of a Revocation of  
Attorney and Appointment of New Attorney for Non-Provisional  
Application, with Certificate Under 37 C.F.R. § 3.73(b))

6. The accompanying application is:

- ☐ Original  
☒ Continuation  
☐ Divisional  
☐ Continuation-In-Part (CIP)

**Prior Application No.: 10/004,095**

**Filed: December 3, 2001**

**Examiner: Unknown**

**Group/Art Unit: Unknown**

which is hereby incorporated by reference therein.

(For Continuation or Divisional Applications only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5 above, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.)

7. ☐ Priority of foreign Application No. \_\_\_\_\_, filed on \_\_\_\_\_ is claimed under 35 U.S.C. § 119.

☐ A certified copy is attached.

☐ A certified copy was filed in prior Application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.

8. ☒ The prior application is assigned of record to Cheetah Omni, Inc., a Texas Limited Liability Company, at reel 013640, frame 0253, reel 013251, frame 0011, and reel 012000, frame 0626 in the U.S. Patent and Trademark Office records, and were recorded on 01/08/2003, 09/04/2002, and 07/20/2001.

**FEE CALCULATION:**


*CLAIMS AS FILED IN THE APPLICATION, LESS ANY CLAIMS CANCELLED  
BY PRELIMINARY AMENDMENT OR ADDED BY PRELIMINARY AMENDMENT*

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$ 375.00
Total Claims:	46	-20 =	26	X \$ 9 =	\$ 234.00
Independent Claims	6	- 3 =	3	X \$42 =	\$ 126.00
TOTAL FILING FEE =					\$ 735.00

*ACCOMPANYING APPLICATION PARTS:*

9. ☐ An Assignment of the invention to \_\_\_\_\_ is attached. A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$\_\_\_\_ pursuant to 37 C.F.R. § 1.21(h).
10. ☒ Information Disclosure Statement (IDS) PTO-1449  
☐ Copies of IDS Citations.  
☒ Pursuant to 37 C.F.R. § 1.98(d), copies of these references are not being furnished.
11. ☒ Information Disclosure Statement (IDS) PTO-1449  
☒ Copies of IDS Citations.  
☐ Pursuant to the July 11, 2003 waiver of 37 C.F.R. § 1.98(a)(2)(i) by the U.S. Patent and Trademark Office, copies of these references are not being furnished.
12. ☐ Preliminary Amendment
13. ☒ Certificate of Mailing
14. ☒ Return Receipt Postcard
15. ☐ Other: \_\_\_\_\_
15. ☒ A check in the amount of \$735.00 to satisfy filing fee requirements under 37 C.F.R. § 1.16. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P.

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicants

  
Kevin J. Meek  
Reg. No. 33,738

Date: August 27, 2003

Express Mail. No. EV 324627291 US

Correspondence Address:

X Customer Number

**05073**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Mohammed N. Islam, et al.  
Filing Date: August 27, 2003  
Title: VARIABLE BLAZED GRATING

**MAIL STOP PATENT APPLICATION**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application (48 pages), Fee Transmittal (3 pages), Declaration and Power of Attorney (4 pages) (copy), Nonpublication Request (1 page), 12 sheets of Formal Drawings, an Information Disclosure Statement with PTO-1449 Form (9 pages), an Information Disclosure Statement with PTO-1449 Form (2 pages) with 6 references, a return post card, a check in the amount of \$735.00, and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 27th day of August, 2003 and are addressed to the Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
\_\_\_\_\_  
Willie Jiles

Express Mail Receipt  
No. EV 324627291 US  
Attorney's Docket No.:  
074036.0127

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor	Mohammed N. Islam, et al.
	Title	VARIABLE BLAZED GRATING
	Atty Docket Number	074036.0127

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 27, 2003

Date



Signature

Kevin J. Meek

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents, P.O. Box 1450, Alexandria, VA 22313-1450.